

4-23-01

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	434 0002
ADDRESS TO: Commissioner of Patents Box Patent Application Washington, DC 20231	First Named Inventor Title	Bahram Mechanic TRANSIENT VOLTAGE SURGE SUPPRESSOR
	Express Mail No.	EL285226365US

 JC929 U.S. PTO
 09/839331
 04/20/01
APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 9]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 1]
5. ☒ Oath or Declaration [Total Pages: 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with No. 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. CD-ROM or DC-R (2 copies); or
 - ii. Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement
(when there is an assignment)
☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (Itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation of prior application No.: 09/311,240
☐ Divisional Prior application information: Examiner _____ Group/Art Unit _____
☒ Continuation-in-part (CIP)

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Correspondence Address					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label _____ or _____ Correspondence address below 008176 (Insert Customer No. or Attach bar code label here)					
Name	Albert B. Kimball Jr. BRACEWELL & PATTERSON, L.L.P.				
Address	711 Louisiana, Suite 2900				
City	Houston	State	Texas	Zip Code	77002
Country	U.S.A.	Telephone	(713) 221-1377	Fax	(713) 221-2185

Date: 4/20/01

Albert B. Kimball Jr., Reg. No. 25,689

FEE TRANSMITTAL FOR FY 2000		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	Bahram Mechanic
		Examiner Name	
		Group / Art Unit	
Total Amount of Payment	\$ 355.00	Attorney Docket No.	43481.000002

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
 Deposit Account No.: 50-0259
 Deposit Account Name:
Bracewell & Patterson, L.L.P.

☒ Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17

☒ Applicant claims small entity status.
 See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION			
1. Basic Filing Fee			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
\$710	\$355	Utility Filing Fee	\$ 355.00
\$320	\$160	Design Filing Fee	\$
\$490	\$245	Plant Filing Fee	\$
\$710	\$355	Reissue Filing Fee	\$
\$150	\$ 75	Provisional Filing Fee	\$
Subtotal (1)			\$355.00


2. Extra Claim Fees				
Claims	Extra	Fee (below)	Fee Paid	
Total	<u>10</u> - 20** =	0 x	\$9	\$ 0
Indep.	<u>2</u> - 3** =	0 x	\$0	\$0

Multiple Dependent			Fee Paid
**or number previously paid, if greater. For Reissues, see below			\$ 0
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	
\$ 18	\$ 9	Claims in excess of 20	
\$ 80	\$ 40	Independent claims in excess of 3	
\$ 270	\$ 135	Multiple dependent claim, if not paid	
\$ 80	\$ 40	**Reissue independent claims over original patent	
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent.	
Subtotal (2)			\$0

FEE CALCULATION (continued)			
3. Additional Fees			
Large Entity	Small Entity	Fee Description	Fee Paid
\$ 130	\$ 65	Surcharge - late fee or oath	\$
\$ 50	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
\$ 130	\$ 130	Non-English specification	\$
\$2,520	\$2,520	Request for Reexamination	\$
\$ 920*	\$ 920*	Requesting publication of SIR prior to Examiner action	\$
\$1,840*	\$1,840*	Requesting publication of SIR after Examiner action	\$
\$ 110	\$ 55	Extension for reply within first month	\$
\$ 390	\$ 195	Extension for reply within second month	\$
\$ 890	\$ 445	Extension for reply within third month	\$
\$1,390	\$ 695	Extension for reply within fourth month	\$
\$1,890	\$ 945	Extension for reply within fifth month	\$
\$ 310	\$ 155	Notice of Appeal	\$
\$ 310	\$ 155	Filing a brief in support of an appeal	\$
\$ 270	\$ 135	Request for oral hearing	\$
\$1,510	\$1,510	Petition to institute a public use proceeding	\$
\$ 110	\$ 55	Petition to revive - unavoidable	\$
\$1,240	\$ 620	Petition to revive - unintentional	\$
\$1,240	\$ 620	Utility issue fee (or reissue)	\$
\$ 440	\$ 220	Design issue fee	\$
\$ 600	\$ 300	Plant issue fee	\$
\$ 130	\$ 130	Petitions to the Commissioner	\$
\$ 50	\$ 50	Petitions related to provisional applications	\$
\$ 240	\$ 240	Submission of Information Disclosure Statement	\$
\$ 40	\$ 40	Recording each patent assignment per property (times number of properties)	\$
\$ 710	\$ 355	Filing a submission after final rejection (37CFR§1.129(a))	\$
\$ 710	\$ 355	For each additional invention to be examined (37 CFR § 1.129(b))	\$
\$ 710	\$ 355	Request for Continued Examination (RCE)	\$
\$ 900	\$ 900	Request for expedited examination of a design application	\$
Subtotal (3)			\$ 0

Date: April 20, 2001

Phone: 713 221-1377

Submitted by

 Albert B. Kimball Jr., Reg (No. 25,689)